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PTO/SB/21 (08-00)

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TRANSMITTAL FORM

(To be used for all correspondence
after initial filing)

Application Number	09/955,588
Filing Date	September 18, 2001
First Named Inventor	Richard A. Sederquist
Group Art Unit	1764
Examiner Name	
Attorney Docket No.	130109.435

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Supplemental Information Disclosure Statement; Form PTO-1449 <input checked="" type="checkbox"/> Cited References (8) <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53 <input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Request for Corrected Filing Receipt <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Declaration <input type="checkbox"/> Statement under 37 CFR 3.73(b) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> CD(s), Number of CD(s) _____ <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Return Receipt Postcard <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): <u>Copy of International Search Report for corresponding application PCT/CA01/01835</u>
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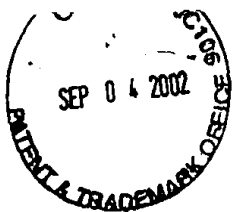
Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Individual Name	Karl R. Hermanns Reg. No. 33,507	 00500 PATENT TRADEMARK OFFICE
Signature		
Date	August 30, 2002	

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date specified below.		
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Signature		Date: August 30, 2002



PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Richard A. Sederquist and Kevin Marchand
Application No. : 09/955,588
Filed : September 18, 2001
For : SHELL AND TUBE REACTOR

Art Unit : 1764
Docket No. : 130109.435
Date : August 30, 2002

Commissioner for Patents
Washington, DC 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

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Commissioner for Patents:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicants wish to make known to the Patent and Trademark Office the references set forth on the attached form PTO-1449 (copies of the cited references are enclosed).

The documents cited on the attached form PTO-1449 were cited in an International Search Report for corresponding application PCT/CA01/01835, mailed July 8, 2002, a copy of which is enclosed.

Applicant notes that reference AG (DE 33 18 098) is written in German. Accordingly, the Examiner's attention is respectfully directed to reference AM which sets forth an English language abstract.


Applicant notes that reference AH (DE 198 090 200) is written in German. Accordingly, the Examiner's attention is respectfully directed to reference AN which sets forth an English language abstract.

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As to any reference supplied, applicants do not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such reference, as by a showing under 37 C.F.R. § 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants' duty to disclose all information they are aware of which is believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

Please acknowledge receipt of this Supplemental Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,
Richard A. Sederquist and Kevin Marchand
Seed Intellectual Property Law Group PLLC



Karl R. Hermans
Registration No. 33,507

KRH:ljd

Enclosures:

Postcard
Form PTO/SB/21
Form PTO-1449
Cited References (8)
Copy of International Search Report for corresponding application PCT/CA01/01835

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FORM PTO-1449
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
130109.435APPLICATION NO.
09/955,588SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

APPLICANT

Richard A. Sederquist and Kevin Marchand

FILING DATE

September 18, 2001

GROUP ART UNIT

1764

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA		3,825,501	06/23/74	Muenger	252	373	
AB		5,520,891	05/28/96	Lee	422	200	
AC							
AD							
AE							
AF							

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
AG		DE 33 18 098 A1	11/22/84	Germany		X
AH		DE 198 090 200 A1	09/09/99	Germany		X
AI		EP 0 600 621 A1	06/08/94	EPO		
AJ						
AK						

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AL		Patent Abstracts of Japan, Abstract No. 60200801, October 11, 1985.
AM		English Abstract of DE 33 18 098, esp@cenet, ep.espacenet.com, November 22, 1984.
AN		English Abstract of DE 198 090 200, esp@cenet, ep.espacenet.com, September 9, 1999.
AO		
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EXAMINER

DATE CONSIDERED

* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).